

## CIMB SME BUSINESSCARD APPLICATION FORM

**IMPORTANT NOTICE:** You are advised to read and understand the CIMB SME BusinessCard Terms and Conditions before you decide to apply for this banking product/services and if you do not understand any of the terms and conditions, you may clarify with the Bank.

### REQUIREMENTS

- Nominee must be of minimum age 21 years old
- Corporation must be established in Malaysia for more than 2 years
- For purposes of this Application, the term "Corporation" means any private limited company, sole proprietorship, partnership, limited liability partnership and others

### Documents Required (non-returnable) :

#### Private Limited Company

- NRIC copy(ies) of Cardholder(s), Directors, Guarantor(s) \*
- M & A or Constitution \*
- Latest 6 Months' Bank Statements (if applicable) \*
- Board Resolution / Extract of Board Resolution \*\*
- Memorandum of Continuing Guarantee & Indemnity

\* Original-sighted by Relationship Manager / Branch Manager

\*\* Original Certified-True-Copy by Company Secretary / Director or its equivalent

#### Sole Proprietorship / Partnership / Limited Liability Partnership

- NRIC copy(ies) of Cardholder(s), Sole Proprietorship, All Partners \*
- Photocopy of Business Registration Certificate \*
- Photocopy of Business License & Certification of Renewal Document \*
- Latest 6 Months' Bank Statements (if applicable) \*
- Resolution / Mandate \*\*
- Memorandum of Continuing Guarantee & Indemnity

Initial by Relationship Manager:

## CORPORATE INFORMATION

### Registered Name


### Company Name to Appear on Card (Not exceeding 18 letters)

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### Registered Address

Postcode	City
State	Country

### Office Number

### Fax Number

### Business Mailing Address

Postcode	City
State	Country

### Registration Number (Old)

### Registration Date

### Registration Number (New)

### Total Annual Sales Turnover^

RM

### Nature of Business

### Number of Full Time Employees

### Total Card Limit Required

### Expected Transactions per Month

### Business Website (URL) Mandatory for Online Business

### Business Email Address (if available)

**Group Name** - If the company is a subsidiary of a Public Listed company listed on the Main Board / Large Firms / MNCs / GLCs / MKDs / State owned enterprises<sup>3</sup>, please indicate

**Type of Entity (Please ✓ one)**

- Private Limited Company
  - Sole Proprietorship
  - Partnership
  - Limited Liability Partnership
  - Others (please specify)
- 

**Current Business Premises (Please ✓ one)**

- Owned
- Mortgaged
- Rented

**Residency Status (Please ✓ one)**

- Resident Controlled
  - Bumiputera Controlled
  - Non-Bumiputera Controlled
- Non-Resident Controlled
- Government Controlled
  - Federal/Central Government
  - Financial Public Enterprise
  - Non-Financial Public Enterprise
  - Other Government Controlled
- Non-Resident

*^inclusive other income*

- <sup>3</sup> *Subsidiary of a Public Listed company listed on the Main Board means Legal entity that is owned by a public listed company.*
- *Main Board refers to company which is listed in the Main Board of Bursa Malaysia or any other main Bourse in other countries.*
  - *Large Firms refers to companies with sales turnover more than RM50 million and more than 200 full-time employees.*
  - *Multi National Companies (“MNCs”) refer to corporations that own or have business activities in different countries.*
  - *Government Linked Companies (“GLCs”) refer to companies in which the Malaysian Government has a direct controlling stake.*
  - *Menteri Kewangan Diperbadankan (“MKDs”) refers to a corporate body established under the Minister of Finance (Incorporation) Act 1957.*
  - *State-owned enterprises refer to legal entities that are partially or wholly owned by the Government.*

**AUTHORISED SIGNATORY / CARD PROGRAM ADMINISTRATOR**

**Salutation (Please ✓ one)**

- Mr     Mrs     Miss     Others please specify \_\_\_\_\_

**Name (as per NRIC / Passport)**

**Mobile Number**

**Position**

**NRIC / Passport Number**

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**Email Address**

**DECLARATION SECTION**

1. I / We acknowledge that the product disclosure sheet of CIMB SME BusinessCard (“Card”) has been made available to me/us and the key contract terms affecting my/our obligations have been adequately explained to me/us by a staff, representative or agent of CIMB Bank Berhad (“the Bank”).
2. I / We confirm that I / we have read and understood the Terms and Conditions of the card (which is available at the Bank’s website at www.cimb.com.my) and the product disclosure sheet and I agree to be bound by the same.
3. If my / our application is approved, the Bank may issue the Card in the name of my / our business entity and my / our nominees.
4. I / We confirm that all information provided by me / us in this application form are true, accurate and complete and I / we will inform the Bank of any changes thereto.
5. I / We confirm that I / we have not committed any act of bankruptcy and / or been adjudged a bankrupt and / or wound up.
6. I / We understand that this application shall be subject to the Bank performing the necessary verification and the Bank may at its discretion approve or reject this application.
7. I / We authorise and consent to the Bank disclosing information relating to my / our affairs to:
  - (a) agencies including credit reference reporting agencies or governmental agencies or financial institutions for the purpose of conducting searches or credit reference checks on me / us or any of my / our directors, shareholders, officers or any other person, individual or entity related to and or associated with me / us (“Reference Checks”); and

- (b) any related corporations of the Bank (collectively, “**CIMB Group**”), the Bank’s and CIMB Group’s agents, service providers, auditors, legal counsels, professional advisors or any security providers or guarantors in or outside Malaysia, for the purpose of this application or for the business, operations, financing and / or services of the Bank.
8. I / We have obtained the consent of my / our directors, shareholders, officers or any individual (“**the Relevant Person**”) and has notified the Relevant Person:
- (a) of the provision of his / her personal data for processing pursuant to the Personal Data Protection Act, 2010 for the purpose in paragraph 6 above;
  - (b) that Reference Checks may be conducted on the Relevant Person;
  - (c) of the disclosure of the Relevant Person’s personal data to the classes of parties described in the CIMB Group Privacy Notice (which is available at [www.cimb.com.my](http://www.cimb.com.my)); and undertake to update the Bank in writing should there be any changes to the personal data of any of the Relevant Person and any revocation of such consent.
9. I / We understand that information relating to my / our affairs may be disclosed to CIMB Group for cross-selling and other purposes of the Bank and / or the CIMB Group provided always that disclosure for cross-selling purposes shall not be effected if such disclosure is objected by me / us by contacting the Bank at: 17th Floor, Menara CIMB, No.1, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur. Telephone Number 1 300 888 828.
10. Where required by domestic or overseas governmental, supervisory or regulatory authorities, I / we also understand and agree that the Bank may be required to obtain additional documents and / or forms, which I / we will sign, if I / we am subject to the relevant jurisdiction’s requirements.
11. Where required by domestic or overseas governmental, supervisory or regulatory authorities, I / we understand and agree that the Bank may withhold, and pay out, from any of my / our account(s) such amounts as may be required according to applicable laws, regulations, directives, guidelines and / or agreements with and / or from domestic or overseas governmental, supervisory or regulatory authorities.
12. In connection with Bank Negara Guidelines on Credit Transactions and Exposures with Connected Parties, I / we \_\_\_\_\_  
(Name of Applicant Company / Firm / Partnership) comprising of directors / partners etc. as per name list and NRIC nos. attached declare as follows: -
- (a) I / We am / are / am not / are not a connected party of the CIMB Group<sup>1</sup>
  - (b) I / We am / are connected to the CIMB Group as follows: -

		Please tick (✓) where appropriate
	I / We am / are a controlling shareholder of the CIMB Group and I / we control the same in the following manner:	
(i)	(a) I / We control more than 50% of the voting rights;	
	(b) I / We hold more than 50% of the issued share capital whether directly or indirectly (excluding preference shares);	
	(c) I / We control the composition of the board of directors;	
	(d) I / We have the power to appoint and / or remove all or a majority of the board of directors;	
	(e) I / We control the controlling shareholder of the CIMB Group; or	
	(f) the director of the CIMB Group or its holding company is accustomed to act in accordance with my / our directions	
(ii)	I / We control or am/are controlled by a director <sup>2</sup> , controlling shareholder, executive officer <sup>3</sup> or officer <sup>4</sup> (including their close relatives <sup>5</sup> ) of the CIMB Group (“the Connected Persons”).	
(iii)	The Connected Persons or any one of them is interested or connected to me / us as a director, partner, executive officer, agent or guarantor and I / we do have subsidiary (ies) or company(ies) which is or are controlled by me / us. Names of my / our subsidiary(ies) or company(ies) which is or are controlled by me / us are as follows [please complete where applicable]: _____	
(iv)	I / We am / are a guarantor for any one of the Connected Persons.	
(v)	I / We am/are controlled by or we are a subsidiary of the CIMB Group and its connected parties.	
(vi)	I / We hold 20% or more interest shares of CIMB Group or have the power to appoint at least one person to the board of directors.	

[To be completed by the applicant Company / Firm / Partnership only where applicable : \_\_\_\_\_ being director(s)/ partner(s)/ sole proprietor of the applicant Company/Partnership/Firm (above person(s) do have close relatives<sup>5</sup> who is/are staff of the CIMB Group) and particulars of which are as follows: )

No.	Name and NRIC / Passport Number of the staff in the CIMB Group person(s)	Nature of Relationship of the staff with the above
1.		
2.		
3.		
4.		
5.		

List of all Shareholders & Directors / Partners / Beneficial Owners

No.	Full Name	Designation (i.e. Director, Partner, Proprietor	NRIC / Passport Number	Percentage of Ownership <sup>6</sup> /	Nationality/ Country of Incorporation/	Tax identification Number (if Nationality / Country of Incorporation in US or US Territory <sup>7</sup> )	Contact Number
1.							
2.							
3.							

4.							
5.							

<sup>1</sup> CIMB Group means CIMB Bank Berhad or CIMB Islamic Bank Berhad or CIMB Investment Bank Berhad or other subsidiaries or companies controlled by the aforesaid respective banking institutions.

<sup>2</sup> Director means director of the CIMB Group whether as an executive director or otherwise, and whether or not receiving compensation, and his close relatives. This includes alternate directors where permitted by the CIMB Group.

<sup>3</sup> An executive officer is defined as a person who is member of management having authority and responsibility for planning, directing and / or controlling the activities of the CIMB Group (other than in the capacity as a director), whether or not the officer has an official title, or is entitled to salary or other compensation. Example of such officers include, but are not limited to, the chief executive officer, chief operating officer or member of business and policy-making committees.

<sup>4</sup> Officer is defined as a person who is responsible for or has the authority to appraise and / or approve credit transactions or review the status of existing credit transactions, either as a member of a committee (e.g. Credit or Investment Committee) or individually, and his close relatives.

<sup>5</sup> Close relative means those family members who may be expected to influence or be influenced by that individual, as well as dependents of the individual. This includes the individual's: (i) spouse and dependents of the spouse; (ii) child (including step children and adopted children) and spouse of the child; (iii) parent; and (iv) brother or sister and their spouses.

<sup>6</sup> To fill up "Director" if the person named is a Director of the applicant.

<sup>7</sup> American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico, or the U.S. Virgin Islands.



**EMERGENCY CONTACT**

**Name**


**Relationship to  
Cardholder / Nominee**

**Contact Number**

**TRANSACTION ALERT SERVICES**

Alert me if transaction exceeds:

(The Bank's default value applies if left blank)

RM1,000  
  RM2,000  
  RM3,000  
  RM5,000

I wish to unsubscribe from Transaction Alert Services  
 (by checking this box you will stop receiving any Transaction Alert for your CIMB SME BusinessCard)

**DECLARATION SECTION**

I / We wish to appoint the above nominee to receive a CIMB SME BusinessCard. I / We understand that CIMB Bank may decline my / our nomination without providing any reason and any notice.

**Declaration on Politically Exposed Person<sup>1</sup> ("PEP")**

I declare that I am / used to be formerly a Politically Exposed Person ("PEP")<sup>1</sup> / Family Member<sup>2</sup> of the PEP/Close Associates<sup>3</sup> of the PEP.

PEP     Yes     No

If "Yes" has been selected, please tick (v) on the appropriate boxes in the table below. For further clarification please refer to the "Notes"

- |                          |   |      |   |  |
|--------------------------|---|------|---|--|
| <input type="checkbox"/> | I am / We are <b>PEP<sup>1</sup></b>                        | Code | <input style="width: 100%;" type="text"/> | (Please find the respective codes under Notes) |
| <input type="checkbox"/> | I am / We are a <b>Family Member<sup>2</sup></b> of the PEP | Code | <input style="width: 100%;" type="text"/> | (Please find the respective codes under Notes) |
| <input type="checkbox"/> | I am / We are <b>Close Associate<sup>3</sup></b> of the PEP | Code | <input style="width: 100%;" type="text"/> | (Please find the respective codes under Notes) |

For "Others", please specify (mandatory) \_\_\_\_\_

Note:

<sup>1</sup> Politically Exposed Person ("PEP") – a person who is or has been entrusted with a prominent public function. For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials.

<sup>2</sup> Family Member of the PEP: 002 – Spouse, 003 – Child, 004, Parents, 005 – Parents-in-law, 006 – Siblings, 007 – Relative / Extended Family.

<sup>3</sup> Close Associates of the PEP: 008 – Work Colleague, 009 – Close Friend, 010 – Driver, 011 – Bodyguard, 012 – Secretary, 013 – Political Adviser, 014- Guarantor, 015 – Business Associate, 016 – Business Partner, 017 – Lawyer, 018 – Person Acting on Behalf, 099 – Others (it is mandatory to specify)

**Declaration on Foreign Account Tax Compliance Act (FATCA)**

Please select Yes or No for each of the following questions:

- |   |   |                             |                    |   |
|---|---|-----------------------------|--------------------|---|
| 1. Are you a U.S. Resident? (including a current work permit)                           | <input type="checkbox"/> Yes                            | <input type="checkbox"/> No | If yes, Tax Number | <input style="width: 100%;" type="text"/> |
| 2. Are you a U.S. Citizen or a Citizen of a U.S. Territory?                             | <input type="checkbox"/> Yes                            | <input type="checkbox"/> No | if yes, Tax Number | <input style="width: 100%;" type="text"/> |
| 3. Do you hold a U.S. Permanent Resident Card (Green Card)?                             | <input type="checkbox"/> Yes                            | <input type="checkbox"/> No | if yes, Tax Number | <input style="width: 100%;" type="text"/> |
| 4. Passport / ID Number (please include the 8-digit/letter U.S. Visa, where applicable) | <input style="width: 100%; height: 20px;" type="text"/> |                             |                    |   |

I / We hereby confirm the information provided in the table above is true, accurate and complete. Subject to the applicable local laws, I / we hereby consent for CIMB Group, its parent or ultimate holding company or any of its affiliates (including branches) (collectively known as "CIMB") to share my / our information with domestic or overseas regulators or tax authorities where necessary to establish my / our tax liability in any / or the relevant jurisdiction. Where required by domestic or overseas regulators or tax authorities, I / we also understand and agree that CIMB may be required to obtain additional documents and / or forms, which I / we will sign, if I / we am / are subject to the relevant jurisdiction's requirements. Where required by domestic or overseas regulators or tax authorities, I / we understand and agree that CIMB may withhold, and pay out, from my / our account(s) such amounts as may be required according to applicable laws, regulations, directives, and / or agreements with and/or from domestic or overseas governmental, supervisory or regulatory authorities. I / We also agree and undertake to notify CIMB (within 30 calendar days), or provide the information if requested by the Bank, if there is a change in any information which I / we have provided to CIMB.




**Acknowledgement by the Nominee**

I / We acknowledge that the product disclosure sheet of CIMB SME BusinessCard ("Card") has been made available to me / us and the key contract terms affecting my / our obligations have been adequately explained to me / us by a staff, representative or agent of CIMB Bank Berhad ("the Bank").

I / We confirm that I / we have read and understood the Terms and Conditions as well as the product disclosure sheet of the Card and the CIMB Group Privacy Notice (which is available at the Bank's website at [www.cimb.com.my](http://www.cimb.com.my)) and I / we agree to be bound by the same.

AUTHORISATION

This application is signed by:

			
<b>Authorised Signatory / Card Program Administrator</b>	<b>Authorised Signatory / Card Program Administrator</b>	<b>Signature of Nominee</b>	<b>Company Stamp</b>
<b>Name</b>	<b>Name</b>		
<b>NRIC</b>	<b>NRIC</b>		

**Date**

For Bank Use

Name of Staff : \_\_\_\_\_

Staff Email Address : \_\_\_\_\_

Branch Address : \_\_\_\_\_

Office Number : \_\_\_\_\_

Mobile Number : \_\_\_\_\_

SMSA					0	0	0	5
Branch Code								
Staff ID								